

# A Domain Adaptation Framework for Speech Recognition Systems with Only Synthetic data





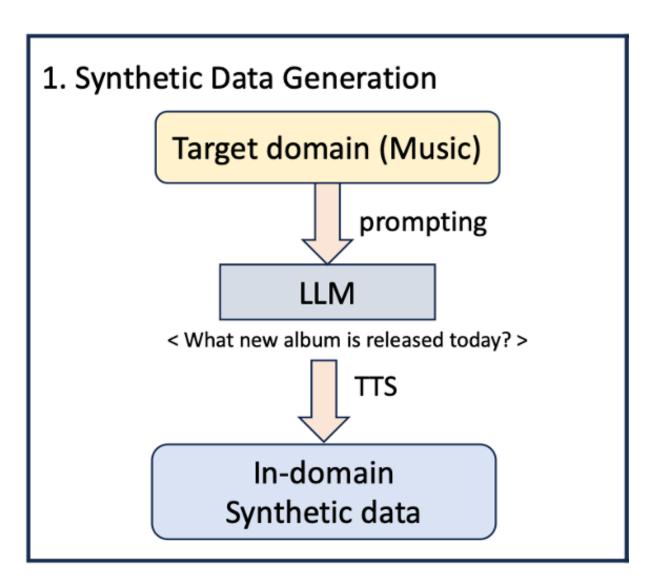
### **Problem Statement**

- Given a pretrained ASR model (Whisper in this work), how can we adapt the model weights to:
  - o Perform better (lower WER) on some language-defined domains.
  - No access to real speech training data (except for the test sets used for evaluation)
  - O No performance regression on out-of-domain data
- Language-defined domain: Speech utterances with content relating to a domain. For example:
  - Sports: Where was the world cup help in 2016?
  - Weather: How is the weather in Seattle today?





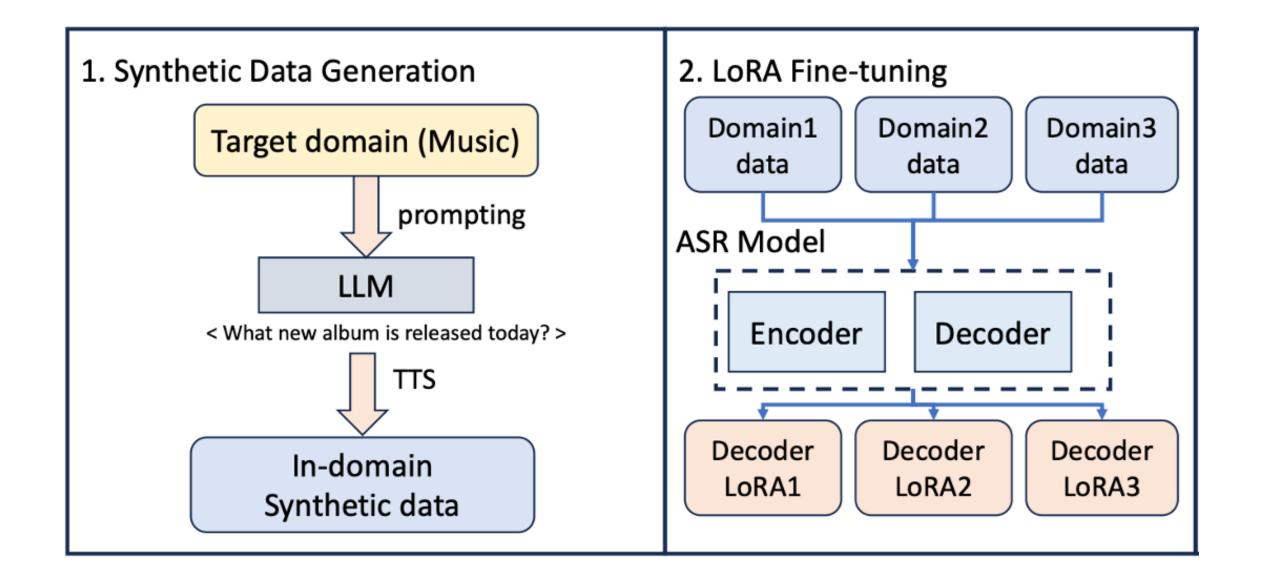
# Proposed Method Overview







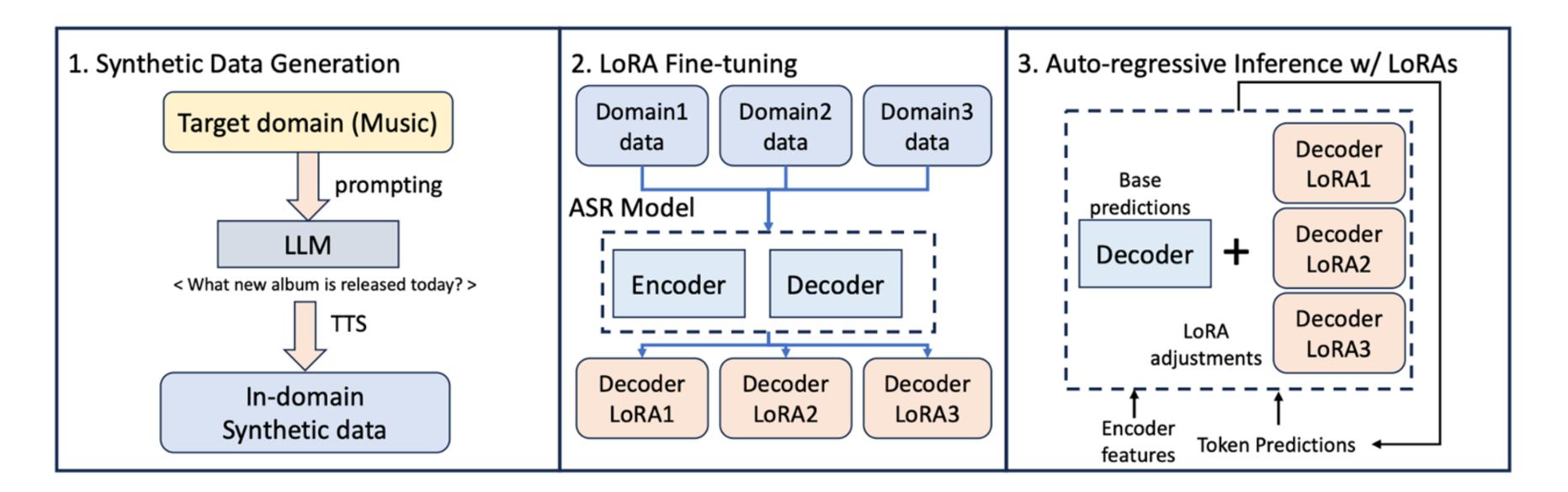
### Proposed Method Overview







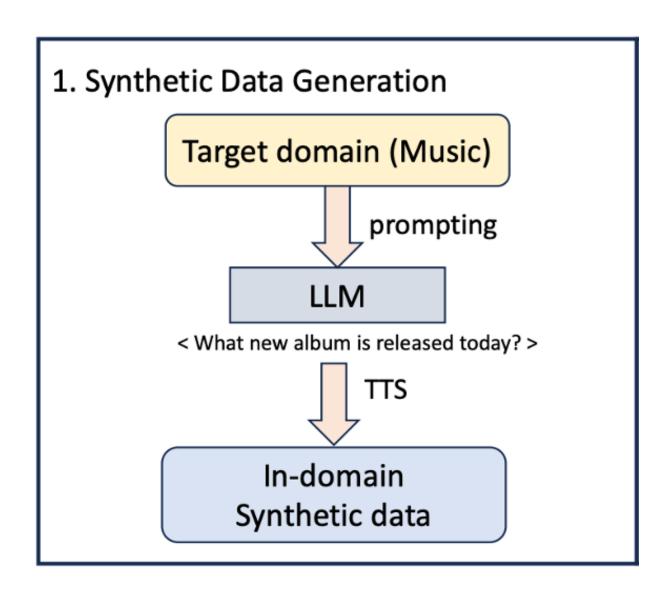
### Proposed Method Overview







### Stage 1: Synthetic text generation

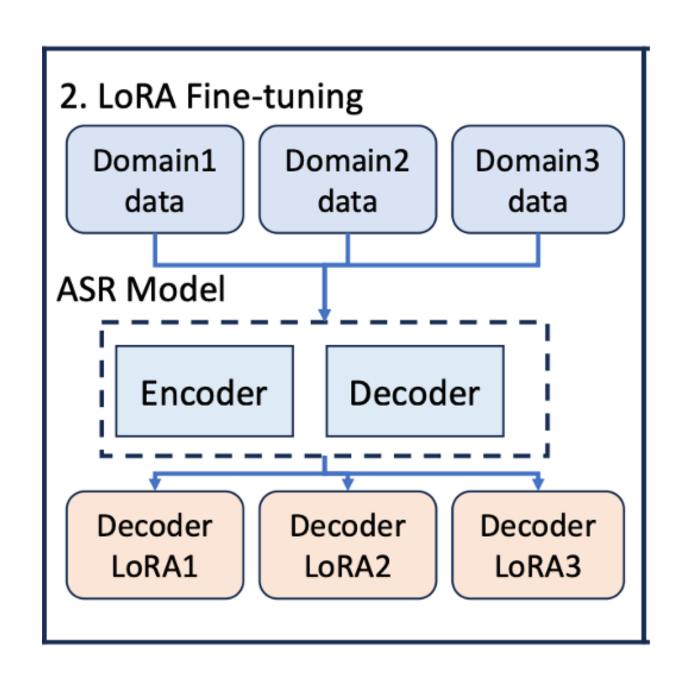


- We prompt LLM (Llama3-70B) to generate large amount of synthetic text for a specific domain
- We use Codec [1] text generation pipeline
- Advantage: No seed text data needed (as opposed to [2])
- We feed our generated text data into a TTS system [3] to create paired text-audio data for ASR
- [1] Zheng et al. CodecLM: Aligning Language Models with Tailored Synthetic Data. Findings of ACL 2024.
- [2] Huang et al. Text Generation with Speech Synthesis for ASR Data Augmentation. Arxiv 2023.
- [3] Wu et al. Transformer-based acoustic modeling for streaming speech synthesis. INTERSPEECH 2021.





# Stage 2: Model tuning on synthetic data

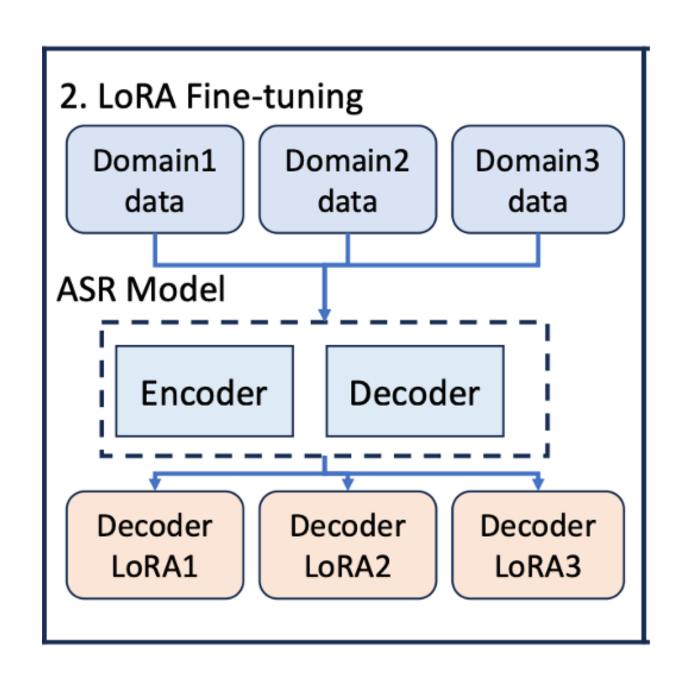


- We use the synthetic data in stage 1 to finetune Whisper [4]. Some experimentally verified observations (refer paper):
  - It is better to tune only the decoder instead of the whole model (encoder + decoder)
  - It is better to tune with LoRA [5] adapters instead of full fine-tuning
  - Advantage: LoRA adapters are efficient in both runtime and memory usage
- We train one LoRA adapter for each domain using corresponding synthetic data generated in Stage 1
- [4] Radford et al. Robust speech recognition via large-scale weak supervision. ICML 2023.
- [5] Hu et al. LoRA: Low-Rank Adaptation of Large Language Models. ICLR 2022.





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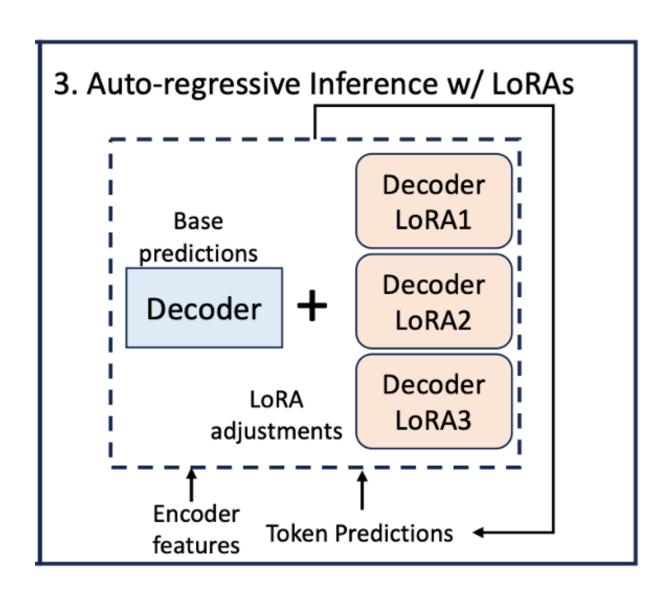


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### Stage 3: Inference with multiple adapters

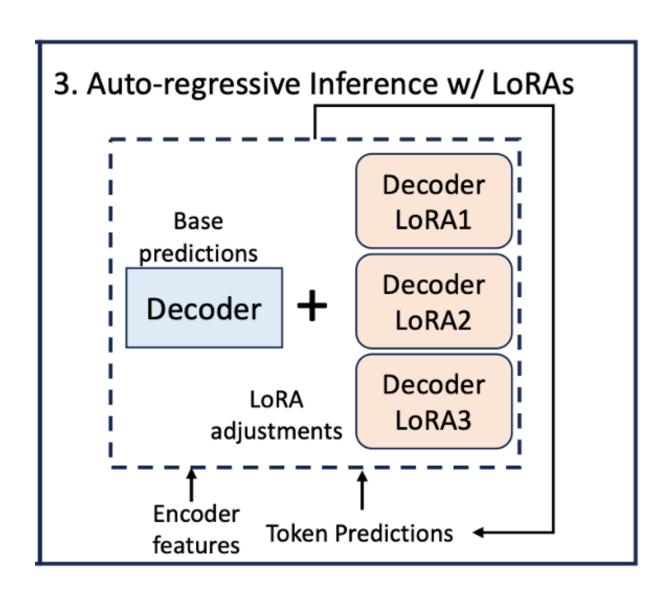


- From stage 2, we have multiple LoRA adapters; one adapter per domain.
- During inference, how can we <u>efficiently</u> process an utterance <u>without</u> <u>prior domain knowledge</u>?
  - Solution 1: Original model → text (domain) classifier → select corresponding LoRA adapter
    - 2 passes
    - Cannot extend to new domain (need to re-train the text classifier)
  - **Solution 2:** Generate speech transcription with each LoRA adapter, then select the transcription with highest confidence (avg. predicted token probabilities)
    - Slow: k adapters -> k passes
    - Can extend to new domain





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# Stage 3: Auto-regressive decoding with LoRAs

#### Algorithm 1 Auto-regressive decoding with multiple LoRAs

```
Require: W, \{(A_i, B_i)\} for i \in [k], x: encoder features

1: tokens \leftarrow []

2: while [eos] \notin tokens do

3: h = Softmax(W(x, tokens))

4: (next_0, c_0) = Argmax(h), Max(h) \triangleright c denotes the confidence

5: h_i = Softmax((W + B_iA_i)(x, tokens)) for i \in [k]

6: (next_i, c_i) = Argmax(h_i), Max(h_i) for i \in [k]

7: SELECT next from \{next_0, next_1, \ldots, next_k\}

8: INSERT next to tokens

9: end while

10: return tokens
```

#### • Gist:

- Generate one token at a time (in an autoregressive manner)
- For each token, generate all tokens predicted by each LoRA adapter
- We use the confidence level of each token to select the best one





### Experiment settings

#### • Dataset

• We evaluate on three domains: music, weather, sports

#### • Validation data

- Real speech samples collected via Meta RayBan glasses
- Manually categorized into each of the three domain

	music	weather	sports
Synthetic dataset	44K	31K	46K
Evaluation dataset	2.1K	2.8K	5.1K

Number of samples for each domain on train/test sets





### Experiment settings

#### • Evaluation metric:

Word Error Rate without wake words (e.g., Hey Meta)

#### Baselines

- FT: full fine-tuning (decoder) (for each domain)
- LoRA-ft: fine-tuning (decoder) with LoRA (for each domain)
- FT-Multi: full fine-tuning (decoder) on 3 domain synthetic data combined
- LoRA-ft-Multi: fine-tuning (decoder) with LoRA on 3 domain synthetic data combined





### Results

	Train set	music	weather	sports
Original	-	27.94	14.97	15.59
FT	TTS-Music	<b>23.20</b> († 17.0%)	$14.45 \ (\uparrow 3.5\%)$	$20.1 (\downarrow 28.8\%)$
FT	TTS-Weather	33.05 ( 18.3%)	<b>12.10</b> († 19.2%)	$17.7 \ (\downarrow 13.5\%)$
FT	TTS-Sports	25.05 (\ 10.3\%)	15.96 ( \( \dagger 6.6\% )	<b>15.3</b> († 1.9%)
LoRA-ft	TTS-Music	23.23 († 16.8%)	13.27 († 11.3%)	16.51 (\ 5.9\%)
LoRA-ft	TTS-Weather	26.65 († 4.6%)	<b>11.70</b> († 21.8%)	15.08 († 3.3%)
LoRA-ft	TTS-Sports	27.14 († 2.9%)	$14.05 (\uparrow 6.1\%)$	<b>13.37</b> († 14.2%)
FT-Multi	TTS(M+W+S)	<b>24.71</b> († 11.6%)	24.53 (\ 64.0\%)	15.84 (\ 1.6%)
LoRA-ft-Multi	TTS(M+W+S)	25.09 († 10.2%)	13.70 († 8.4%)	14.61 († 6.3%)
DAS	TTS(M/W/S)	24.87 († 11.0%)	<b>12.39</b> († 17.2%)	<b>13.98</b> († 10.3%)

- FT and LoRA-ft: one model for each domain
- FT-Multi/LoRA-ft-Multi/DAS (ours): a single model for all domains
- DAS is the only method that can extend to new domains (without retraining)
  - only need to train new LoRA adapter and attach to the model





### Out-of-domain regression experiment

	$OOD_1$	$OOD_2$	$OOD_3$	$OOD_4$
Original	12.02	5.04	10.87	10.36
LoRA-ft Multi	13.79	5.78	11.48	10.82
DAS	12.25	5.1	11.06	10.29
% change	-1.02	-1.01	-1.02	+0.99

TABLE V

ASR PERFORMANCE COMPARISON BETWEEN DAS AND ORIGINAL (UNADAPTED) MODEL ACROSS FOUR OUT-OF-DOMAIN TEST SETS.  $OOD_1$ : LibriSpeech test-other,  $OOD_2$ : LibriSpeech test-clean,  $OOD_3$ : Fleurs-En,  $OOD_4$ : Voxpopuli-En.

Our method shows minimal out-of-domain performance regression.





### Conclusion

- We propose a novel framework for ASR systems that can
  - Improve WER for a set of target language-defined domains.
  - Minimal generalizability loss.
  - No real data needed.

Feel free to refer to our paper to more details.







